# उत्तरांचल शासन



# वित्त सेवा नियमावली

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In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 498/Vitta Section-4/2002, dated August 03, 2002.

#### No.498/Vitta Section-4/2002 <u>Dated Dehradun, August 03, 2002</u>

#### **NOTIFICATION**

#### Miscellaneous

In exercise of the powers conferred by the proviso to Article 309 of the Constitution and in supersession of all existing rules and orders on the subject, the Governor is pleased to make the following rules regulating recruitment and conditions of service of persons appointed to the Uttaranchal Finance Service:

### THE UTTARANCHAL FINANCE SERVICE RULES, 2002

#### PART I--General

(1) These rules may be called the Uttaranchal Finance Service Rules, 2002.
 (2) They shall come into force at once.

Short title and commencement

2. The Uttaranchal Finance Service is a State Service comprising Group 'A' and 'B' posts.

Status of the Service

3. In these rules unless there is anything repugnant in the subject or context:

Definitions

(a) "appointing authority" means the Government;

- (b) "citizen of India" means a person who is or is deemed to be a citizen of India under part II of the Constitution:
- (c) "commission" means the Uttaranchal Public Service Commission;

(d) "Constitution" means the Constitution of India;

(e) "Government" means the State Government of Uttaranchal;

(f) "Governor" means the Governor of Uttaranchal;

(g) "Member of the Service" means a person appointed in a substantive capacity under the provisions of these rules or of rules or orders in force prior to the commencement of these rules, to a post in the cadre of the Service;

(h) "Service" means the Uttaranchal Finance Service;

(i) "Substantive appointment" means an appointment on a post in the cadre of service, made after selection in accordance with the rules and, if there are no rules, in accordance with the procedure prescribed for the time being by executive instructions issued by the Government;

(j) "year of recruitment" means the period of twelve months commencing from the first day of July of a calendar year.

#### PART II--Cadre

4. (1) The strength of the service and of each category of posts therein shall be such as may be determined by the Governor from time to time.

Cadre of Service

(2) The strength of the service and of each category of post therein shall until orders varying the same are passed under sub-rule (1) shall be as given in Appendix to these rules:

#### Provided that-- NE BYE TO INTE A

- (a) the appointing authority may leave unfilled or the Governor may hold in abeyance any vacant post without thereby entitling any person to compensation;
- (b) the Governor may create such additional permanent or temporary posts from time to time as he may consider necessary.

#### PART III--Recruitment

5. Recruitment to the Ordinary Grade in the Service shall be made from the following sources:

Source of recruitment

- (a) By direct recruitment.
- (b) By promotion from amongst substantively appointed--
  - (1) Assistant Accounts Officers:
  - (2) Assistant/Sub-Treasury Officers; and
  - (3) Chief Cashiers (Assistant Treasury Officer, Cash-- As a dying cadre):

Provided that recruitment shall be so arranged that, as far as possible, the number of posts in the cadre held by different categories of persons shall be in accordance with the following percentage:--

	Assistant Accounts Officers Assistant/Sub-Treasury Officers	20 per cent 25 per cent	
(iii)	Chief Cashier (Assistant Treasury Officer-Cash) Direct recruits	5 per cent 50 per cent	

Provided further that if suitable eligible persons are not available for promotion from any all category of post upon 50% (Asstt. Account Officer or Asstt. Treasury Officer etc.) the post may be filled by direct recruitment.

Reservation for the candidates belonging to Scheduled Castes, Scheduled Tribes
and other categories shall be in accordance with the orders of the Government
in force at the time of recruitment.

Reservation

#### PART IV-Qualifications

- 7. A candidate for recruitment to the Service must be--
  - (a) a citizen of India, or
  - (b) a Tibetan refugee who came over to India before the 1st January, 1962 with the intention of permanently settling in India, or
  - (c) a person of Indian origin who has migrated from Pakistan, Burma, Srilanka or any of the East African countries of Kenya, Uganda and the United Republic of Tanzania (formerly Tangayika and Zanzibar) with the intention of permanently settling in India:

Provided that a candidate belonging to category (b) or (c) above must be a person in whose favour a certificate of eligibility has been issued by the State Government:

Provided further that a candidate belonging to category (b) will also be required to obtain a certificate of eligibility granted by the Deputy Inspector General of Police, Intelligence Branch, Uttaranchal:

Provided also that if a candidate belongs to category (c) above no certificate of eligibility will be issued for a period of more than one year and the retention of such a candidate in service beyond a period of one year shall be subject to his acquiring Indian citizenship.

Note: -- A candidate in whose case a certificate of eligibility is necessary but the same has neither been issued nor refused, may be admitted to an examination or interview and he may also be provisionally appointed subject to the necessary certificate being obtained by him/her or issued in his/her favour.

Nationality

A candidate for direct recruitment to the service must hold a Bachelor's Degree
of a University established by law in India or of any other University recognised
for this purpose by the Governor.

Academic Qualification

- 9. A candidate who has --
  - (a) Served in the Territorial army for a minimum period of two years, or
  - (b) obtained a 'B' Certificate of the National Cadet Corps shall, other things being equal, be given preference in the matter of direct recruitment.

Preferential Qualification

10. A candidate for direct recruitment must have attained the age of twenty-one years and must not have attained the age of more than thirty five years on the first day of July of the calendar year in which vacancies for direct recruitment are advertised by the Commission or the maximum age decided by executive orders of the Government:

Age

Provided that the upper age-limit in the case of candidates belonging to the Scheduled Castes, Scheduled Tribes and such other categories as may be notified by the Government from time to time shall be greater by such number of years as may be specified.

11. The character of a candidate for direct recruitment to a post in the service must be such as to render him suitable in all respects for employment in the service. The appointing authority shall satisfy itself on this point.

Character

Note: — Persons dismissed by the Union Government or by a Local Authority or a Corporation or Body owned or controlled by the Union Government or a State Government shall be ineligible for appointment to any post in service. Any person convicted of offence involving moral turpitude shall also be ineligible.

12. A male candidate, who has more than one wife living or a female candidate who has married a man already having a wife living, shall not be eligible for appointment to a post in the service:

Marital Status

Provided that the Government may, if satisfied that there exist special grounds for doing so, exempt any person from the operation of this rule.

13. No candidate shall be appointed to a post in the service unless he be in good mental and bodily health and free from any physical defect likely to interfere with the efficient performance of his duties. Before a candidate is finally approved for appointment he shall be required to pass an examination by a Medical Board:

Physical Fitness

Provided that the examination by a Medical Board shall not be necessary in case of a candidate recruited by promotion.

#### PART V -- Procedure for Direct Recruitment

14. The appointing authority shall determine the number of vacancies to be filled during the course of the year as also the number of vacancies to be reserved for candidates belonging to the Scheduled Castes, Scheduled Tribes and other categories under rule 6 of these rules. The vacancies for direct recruitment shall be intimated to the Commission.

Determination of Vacancies

15. (1) Applications for permission to appear in the competitive examination shall be called by the Commission in the proforma prescribed in the advertisement issued by the Commission. Procedure for Direct Recruitment

- (2) No candidate shall be admitted to the examination unless he holds a certificate of admission issued by the Commission.
- (3) After the results of the written examination have been received and tabulated, the commission shall having regard to the need for securing due representation of the candidates belonging to the Scheduled Castes, Scheduled Tribes and others under rule 6, summon for interview such number of candidates as, on the result of the written examination, have come up to the standard fixed by the Commission in this respect. The marks awarded to each candidate at the interview shall be added to the marks obtained by him in the written examination.
- (4) The Commission shall prepare a list of candidates in order of their proficiency as disclosed by the aggregate of marks obtained by each candidate at the written examination and interview and recommend such number of candidates as they consider fit for appointment. If two or more candidates obtain equal marks in the aggregate, the name of the candidate obtaining higher marks in the written examination shall be placed higher in the list. The number of names in the list shall be larger (but not larger by more than 25 per cent) than the number of vacancies. The Commission shall forward the list to the appointing authority.
- 16. Recruitment by promotion to the ordinary Grade in the Service shall be made on the basis of merit, in accordance with the Promotion by Selection in Consultation with the Public Service Commission (Procedure) Rules, as amended from time to time.

Procedure for Recruitment by Promotion

17. Selection to the Senior Scale Grade-II shall be made on the recommendation of a Selection Committee, on the basis of seniority subject to rejection of unfit from amongst such substantively appointed officers of the Ordinary Grade who have completed five year service, as such on the first day of July of the calendar year in which the selection is made. The Selection Committee shall be constituted as under --

Senior Scale Grade-II

- (i) The Principal Secretary or the Secretary, as the case may be, to the Government in Finance Department Chairman
- (ii) The Secretary to the Government in Personnel Department or his nominee not below the rank of Joint Secretary

  Member
- (iii) Director, Treasuries and Finance Services or Director, Account & Entitlement Member

Provided that the Government may, in special circumstances relax the limit of service fixed for selection to the Senior Scale Grade-II.

18. Selection to the Senior Scale Grade-I shall be made on the recommendation of a Selection Committee, on the basis of seniority subject to rejection of unfit, from amongst such substantively appointed officers of the Senior Scale Grade-II who have completed four years service or completed ten years service and worked one year in Senior Scale Grade-II on the first day of July of the calendar year in which the selection is made. The Selection Committee shall be constituted as under -- Senior Scale Grade-I

- (i) The Principal Secretary or the Secretary, as the case may be, to the Government in Finance Department Chairman
- (ii) The Secretary to the Government in Personnel Department or his nominee not below the rank of Joint Secretary

  Member
- (iii) Director, Treasuries and Finance Services or Director, Account
  & Entitlement

  Member

Provided that the Government may, in special circumstances relax the limit of service fixed for selection to the Senior Scale Grade-I.

19. (a) Selection Grade shall be made on recommendation of a Selection Committee, on the basis of merit from amongst such substantively appointed officers of the Senior Scale Grade-I who have completed 15 years of services and two years in Senior Scale Grade-I, as such on the first day of July of the calendar year in which the selection is made.

Selection Grade

Selection to special scale shall be made on the recommendation of a Selection Committee on the basis of merit from amongst officers of Selection Grade who have completed 20 years of service and one year in Selection Grade on the first day of July of the calendar year in which the selection is made.

Special Scale

The Selection Committee for Selection Grade and Special Grade shall be constituted as under--

The Principal Secretary or the Secretary, as the case may be, to the Government in Finance Department

Chairman

The Secretary to the Government in Personnel Department or his nominee not below the rank of Joint Secretary

Member

Director, Treasuries and Finance Services or Director, Account & Entitlement

Member

20. Selection to the Supertime Scale shall be made on the recommendation of the Selection Committee on the basis of merit from amongst substantively appointed officers of the Special Scale. The Selection Committee shall be constituted as under --

Supertime Scale

(i) The Chief Secretary to the Government

Chairman

(ii) The Principal Secretary or the Secretary, as the case may be, to the Government in Finance Department

**Member** 

(iii) The Secretary to the Government in Personnel Department

Member

21. If in any year of recruitment appointments are made both by direct recruitment and by promotion, a combined select list shall be prepared by taking the names of candidates alternately in such a manner that as far as may be prescribed percentage of direct recruits and promottees is maintained from the lists prepared under rules 15 and 16, the first name being that of a candidate selected by promotion: Combined Select List

Provided that the names of candidates recruited by promotion from amongst the Assistant/Sub Treasury Officers, Assistant Accounts Officers and Chief Cashier (Assistant Treasury Officer-Cash) appearing in the combined select list shall be arranged in such a manner that the name of a candidate recruited by promotion from amongst the Assistant/Sub-Treasury Officers, then the name of candidate recruited by promotion from amongst the Assistant Accounts Officers followed by Chief Cashier (Assistant Treasury Officer-Cash) shall be placed in the same proportion as given in Para-5 and so on.

## PART VI -- Appointment, Probation, Confirmation and Seniority

22. On the occurrence of substantive vacancies, the appointing authority shall make appointment by taking candidates in the order in which they stand in the list prepared under rules 15, 16 or 21, as the case may be.

Appointment

23. (1) A person substantively appointed to a post in the service shall be placed on probation for a period of two years.

Probation

(2) The appointing authority may for reasons to be recorded extend the period of probation in individual cases specifying the date up to which the extension is granted.

- (3) If it appears to the appointing authority at any time during or at the end of the period of probation or extended period of probation that a probationer has not made sufficient use of his opportunities or has otherwise failed to give satisfaction, he may be reverted to his substantive post, if any, and if he does not hold a lien on any post, his services may be dispensed with.
- (4) A probationer who is reverted or whose services are dispensed with under sub-rule (3) shall not be entitled to any compensation.
- (5) The appointing authority may allow continuous service rendered in an officiating or temporary capacity in a post included in the cadre or any other equivalent or higher post to be taken into account for the purpose of computing the period of probation.
- 24. All candidates selected for appointment to the service by direct recruitment shall be required to undergo such training and pass such departmental examination as may be prescribed by the Government from time to time. The Government may also require the candidates entering the service by promotion to undergo such training and pass such departmental examination as it may consider expedient.

Training and Departmental Examination

25. (1) Subject to the provision of sub-rule (2), a probationer shall be confirmed in his appointment at the end of the period of probation or the extended period of probation if--

Confirmation

- (a) he has passed the prescribed departmental examination;
- (b) he has successfully undergone the prescribed training;
- (c) his work and conduct are reported to be satisfactory;
- (d) his integrity is certified; and
- (e) the appointing authority is satisfied that he is otherwise fit for confirmation.
- (2) Where in accordance with the provisions of Government Servant's Confirmation Rules, confirmation is not necessary under these rules, declaring the person concerned successful on completion of the probation shall be deemed to be the order of confirmation.
- 26. The seniority of persons substantively appointed in the Service shall be determined in accordance with Para-21 of the rules and Government Servant Seniority Rules, as amended from time to time.

Seniority

#### PART VII -- Pay etc.

- 27. (1) The scales of pay admissible to a person appointed to a post in Service shall be such as may be determined by the Government from time to time.
  - (2) The scales of pay of the Service in force at the commencement of these rules are given in Appendix.
- 28. (1) Notwithstanding any provisions in the Fundamental Rules to the contrary, a person on probation if he is not already in permanent Government Service, shall be allowed his first increment in the time scale when he has completed one year of satisfactory service, has passed departmental examination and undergone training where prescribed and second increment after two years

Scales of Pay

Pay during Probation

service when he has completed the probationary period and is also confirmed:

Provided that, if the period of probation is extended on account of failure to give satisfactory performance such extension shall not count for increment unless the appointing authority directs otherwise.

(2) The pay during probation of a person, who was already holding a post under the Government, shall be regulated by the relevant Fundamental Rules:

Provided that, if the period of probation is extended on account of failure to give satisfaction such extension shall not count for increment unless the appointing authority directs otherwise.

- (3) The pay during probation of a person already in permanent Government service shall be regulated by the relevant rules, applicable to Government servants, generally serving in connection with the affairs of the State.
- (4) If the increment of the officer is withheld during the probationary period only on account of failure to pass the departmental examination, it shall be allowed to him on passing the departmental examination on the first day of the month following that in which the examination is held and the period during which the increment is withheld, shall count for increment in the Time Scale.
- 29. A member of the service shall not be allowed to cross the efficiency bar unless his work and conduct is found satisfactory and unless his integrity is certified.

Criteria for crossing the Efficiency Bar

#### PART VIII -- Other Provisions

30. No recommendation, either written or oral other than those required under these rules will be taken into consideration, any attempt on the part of a candidate to enlist support directly or indirectly for his candidature or by other means will disqualify him for appointment.

31. In regard to the matters not specifically covered by these rules or by special Regulation of other orders, persons appointed to the service shall be governed by the rules, regulations and orders applicable generally to Government servants serving in connection with the affairs of the State.

32. Where the State Government is satisfied that the operation of any rule, regulation Relaxation from regarding the conditions of service of persons appointed to the service cause undue hardship in any particular case, it may in consultation with the Commission, notwithstanding anything contained in the rules applicable to the case, by order dispense with or relax the requirements of that rule to such extent and subject to such conditions as it may consider necessary for dealing with the case in a just and equitable manner.

the condition of Service

33. Nothing in these rules shall effect reservations and other concessions required Saving to be provided for the Scheduled Castes, Scheduled Tribes and other Special categories of persons in accordance with the orders issued by the Government from time to time in this regard.

#### APPENDIX

[ See Rule 4(1) and 4(2)]

OL No	Name of the Post	Pay Scale	No. of Cadre	50% deputation	Total
SI. No.			Post	as cadre Post	Post
1	2	3	4	5	6
(A) 1.	Supertime Scale	Rs. 18400-500-22400	2	1	3
2.	Special Scale	Rs. 16400-450-20000	9	4	13
3.		Rs. 14300-400-18300	10	5	15
4.		Rs. 12000-375-16500	15	8	23
		Rs. 10000-325-15200	20	10	30
5.		Rs. 8000-275-13500	30	15	45
6.	Total Number of Cadre Post		86	43	129
(B) 1	Deputation Reserve (50% of the number of cadre posts)	7			
2	Reserve (8% of the		7		7
	number of cadre posts)  Grand Total		7		136

By Order,

(INDU KUMAR PANDE)

Principal Secretary.